

Alantra Equities MiFID II RTS 28 Reporting for 01/01/2021 to 31/12/2021

MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Equities - Shares & Depositary Receipts (T12)				
Notification if <1 average trade per business day in the previous year	No				
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
MS	100.00 %	100.00 %	38.41 %	35.37 %	0.00 %
Interaction	Placement/transmission				
Report provided by LiquidMetrix					

Alantra Equities MiFID II RTS 28 Reporting for 01/01/2021 to 31/12/2021

MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Equities - Shares & Depositary Receipts (T34)				
Notification if <1 average trade per business day in the previous year	No				
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
MS	100.00 %	100.00 %	37.32 %	48.44 %	0.00 %
Interaction	Placement/transmission				
Report provided by LiquidMetrix					

Alantra Equities MiFID II RTS 28 Reporting for 01/01/2021 to 31/12/2021

MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Equities - Shares & Depositary Receipts (T56)				
Notification if <1 average trade per business day in the previous year	No				
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
MS	100.00 %	100.00 %	52.20 %	40.47 %	0.00 %
Interaction	Placement/transmission				
Report provided by LiquidMetrix					

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MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)				
Notification if <1 average trade per business day in the previous year	No				
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
MS	100.00 %	100.00 %	58.25 %	16.50 %	0.00 %
Interaction	Placement/transmission				
Report provided by LiquidMetrix					

Alantra Equities MiFID II RTS 28 Reporting for 01/01/2021 to 31/12/2021

MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Other instruments				
Notification if <1 average trade per business day in the previous year	No				
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
MS	100.00 %	100.00 %	16.67 %	16.67 %	0.00 %
Interaction	Placement/transmission				
Report provided by LiquidMetrix					