

**Alantra Equities MiFID II RTS 28 Reporting for 01/07/2021 to 30/09/2021**

**MiFID II RTS 28 Report (Professional Client)**

<b>Class of Instrument</b>	Equities - Shares & Depositary Receipts (T12)				
<b>Notification if &lt;1 average trade per business day in the previous year</b>	No				
<b>Top five investment firms ranked in terms of trading volumes (descending order)</b>	<b>Proportion of volume traded as a percentage of total in that class</b>	<b>Proportion of orders executed as percentage of total in that class</b>	<b>Percentage of passive orders</b>	<b>Percentage of aggressive orders</b>	<b>Percentage of directed orders</b>
MS	100.00 %	100.00 %	50.59 %	24.71 %	0.00 %
<b>Interaction</b>	Placement/transmission				
Report provided by LiquidMetrix					

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**MiFID II RTS 28 Report (Professional Client)**

<b>Class of Instrument</b>	Equities - Shares & Depositary Receipts (T34)				
<b>Notification if &lt;1 average trade per business day in the previous year</b>	No				
<b>Top five investment firms ranked in terms of trading volumes (descending order)</b>	<b>Proportion of volume traded as a percentage of total in that class</b>	<b>Proportion of orders executed as percentage of total in that class</b>	<b>Percentage of passive orders</b>	<b>Percentage of aggressive orders</b>	<b>Percentage of directed orders</b>
MS	100.00 %	100.00 %	34.34 %	50.76 %	0.00 %
<b>Interaction</b>	Placement/transmission				
Report provided by LiquidMetrix					

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**MiFID II RTS 28 Report (Professional Client)**

<b>Class of Instrument</b>	Equities - Shares & Depositary Receipts (T56)				
<b>Notification if &lt;1 average trade per business day in the previous year</b>	No				
<b>Top five investment firms ranked in terms of trading volumes (descending order)</b>	<b>Proportion of volume traded as a percentage of total in that class</b>	<b>Proportion of orders executed as percentage of total in that class</b>	<b>Percentage of passive orders</b>	<b>Percentage of aggressive orders</b>	<b>Percentage of directed orders</b>
MS	100.00 %	100.00 %	52.62 %	39.19 %	0.00 %
<b>Interaction</b>	Placement/transmission				
Report provided by LiquidMetrix					

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**MiFID II RTS 28 Report (Professional Client)**

<b>Class of Instrument</b>	Other instruments				
<b>Notification if &lt;1 average trade per business day in the previous year</b>	No				
<b>Top five investment firms ranked in terms of trading volumes (descending order)</b>	<b>Proportion of volume traded as a percentage of total in that class</b>	<b>Proportion of orders executed as percentage of total in that class</b>	<b>Percentage of passive orders</b>	<b>Percentage of aggressive orders</b>	<b>Percentage of directed orders</b>
MS	100.00 %	100.00 %	50.00 %	0.00 %	0.00 %
<b>Interaction</b>	Placement/transmission				
Report provided by LiquidMetrix					

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<b>Class of Instrument</b>	Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)				
<b>Notification if &lt;1 average trade per business day in the previous year</b>	No				
<b>Top five investment firms ranked in terms of trading volumes (descending order)</b>	<b>Proportion of volume traded as a percentage of total in that class</b>	<b>Proportion of orders executed as percentage of total in that class</b>	<b>Percentage of passive orders</b>	<b>Percentage of aggressive orders</b>	<b>Percentage of directed orders</b>
MS	100.00 %	100.00 %	50.00 %	11.11 %	0.00 %
<b>Interaction</b>	Placement/transmission				
Report provided by LiquidMetrix					