

**Alantra Equities MiFID II RTS 28 Reporting for 01/01/2020 to 31/12/2020**

**MiFID II RTS 28 Report (Professional Client)**

<b>Class of Instrument</b>	Equities - Shares & Depositary Receipts (T34)				
<b>Notification if &lt;1 average trade per business day in the previous year</b>	No				
<b>Top five execution venues ranked in terms of trading volumes (descending order)</b>	<b>Proportion of volume traded as a percentage of total in that class</b>	<b>Proportion of orders executed as percentage of total in that class</b>	<b>Percentage of passive orders</b>	<b>Percentage of aggressive orders</b>	<b>Percentage of directed orders</b>
Bolsa de Madrid XMAD	28.34 %	16.04 %	49.26 %	37.16 %	0.00 %
CBOE EUROPE ? BXE OFF-BOOK BATF	11.53 %	1.30 %	0.00 %	37.66 %	0.00 %
Off Exchange Transactions XOFF	8.44 %	1.27 %	8.00 %	2.67 %	0.00 %
Swiss Stock Exchange XSWX	6.30 %	0.22 %	7.69 %	30.77 %	0.00 %
CHI-X Europe CHIX	5.58 %	12.49 %	64.19 %	29.46 %	0.00 %
<b>Interaction</b>	Execution				
Report provided by LiquidMetrix					

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### MiFID II RTS 28 Report (Professional Client)

<b>Class of Instrument</b>	Equities - Shares & Depositary Receipts (T56)				
<b>Notification if &lt;1 average trade per business day in the previous year</b>	No				
<b>Top five execution venues ranked in terms of trading volumes (descending order)</b>	<b>Proportion of volume traded as a percentage of total in that class</b>	<b>Proportion of orders executed as percentage of total in that class</b>	<b>Percentage of passive orders</b>	<b>Percentage of aggressive orders</b>	<b>Percentage of directed orders</b>
Bolsa de Madrid XMAD	29.29 %	9.51 %	64.45 %	27.16 %	0.00 %
CHI-X Europe CHIX	9.14 %	13.60 %	68.99 %	28.02 %	0.00 %
Paris Stock Exchange XPAR	6.04 %	1.66 %	59.00 %	20.50 %	0.00 %
Swiss Stock Exchange XSWX	5.33 %	0.25 %	26.67 %	13.33 %	0.00 %
Deutsche Börse XETA XETA	4.83 %	1.01 %	62.30 %	8.20 %	0.00 %
<b>Interaction</b>	Execution				
Report provided by LiquidMetrix					

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**MiFID II RTS 28 Report (Professional Client)**

<b>Class of Instrument</b>	Equities - Shares & Depositary Receipts (T12)				
<b>Notification if &lt;1 average trade per business day in the previous year</b>	Yes				
<b>Top five execution venues ranked in terms of trading volumes (descending order)</b>	<b>Proportion of volume traded as a percentage of total in that class</b>	<b>Proportion of orders executed as percentage of total in that class</b>	<b>Percentage of passive orders</b>	<b>Percentage of aggressive orders</b>	<b>Percentage of directed orders</b>
Bolsa de Madrid XMAD	54.77 %	28.64 %	27.87 %	27.87 %	0.00 %
Lisbon Stock Exchange XLIS	13.36 %	10.33 %	4.55 %	50.00 %	0.00 %
Deutsche Börse XETA XETA	11.31 %	7.04 %	40.00 %	26.67 %	0.00 %
TOWER RESEARCH CAPITAL EUROPE LTD TRSI	3.52 %	6.57 %	0.00 %	100.00 %	0.00 %
CHI-X Europe CHIX	3.36 %	9.86 %	4.76 %	71.43 %	0.00 %
<b>Interaction</b>	Execution				
Report provided by LiquidMetrix					

## Alantra Equities MiFID II RTS 28 Reporting for 01/01/2020 to 31/12/2020

### MiFID II RTS 28 Report (Professional Client)

<b>Class of Instrument</b>	Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)				
<b>Notification if &lt;1 average trade per business day in the previous year</b>	Yes				
<b>Top five execution venues ranked in terms of trading volumes (descending order)</b>	<b>Proportion of volume traded as a percentage of total in that class</b>	<b>Proportion of orders executed as percentage of total in that class</b>	<b>Percentage of passive orders</b>	<b>Percentage of aggressive orders</b>	<b>Percentage of directed orders</b>
Paris Stock Exchange XPAR	85.30 %	41.33 %	6.45 %	70.97 %	0.00 %
Off Exchange Transactions XOFF	3.15 %	16.00 %	0.00 %	8.33 %	0.00 %
BOERSE FRANKFURT - REGULIERTER MARKT FRAA	2.53 %	6.67 %	0.00 %	0.00 %	0.00 %
London Stock Exchange XLON	2.16 %	4.00 %	0.00 %	100.00 %	0.00 %
TOWER RESEARCH CAPITAL EUROPE LTD TRSI	1.89 %	2.67 %	0.00 %	100.00 %	0.00 %
<b>Interaction</b>	Execution				
Report provided by LiquidMetrix					

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**MiFID II RTS 28 Report (Professional Client)**

<b>Class of Instrument</b>	Other instruments				
<b>Notification if &lt;1 average trade per business day in the previous year</b>	Yes				
<b>Top five execution venues ranked in terms of trading volumes (descending order)</b>	<b>Proportion of volume traded as a percentage of total in that class</b>	<b>Proportion of orders executed as percentage of total in that class</b>	<b>Percentage of passive orders</b>	<b>Percentage of aggressive orders</b>	<b>Percentage of directed orders</b>
Bolsa de Madrid XMAD	100.00 %	100.00 %	50.00 %	0.00 %	0.00 %
<b>Interaction</b>	Execution				
Report provided by LiquidMetrix					

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**MiFID II RTS 28 Report (Professional Client)**

<b>Class of Instrument</b>	Equities - Shares & Depositary Receipts (T34)				
<b>Notification if &lt;1 average trade per business day in the previous year</b>	Yes				
<b>Top five investment firms ranked in terms of trading volumes (descending order)</b>	<b>Proportion of volume traded as a percentage of total in that class</b>	<b>Proportion of orders executed as percentage of total in that class</b>	<b>Percentage of passive orders</b>	<b>Percentage of aggressive orders</b>	<b>Percentage of directed orders</b>
MS	100.00 %	100.00 %	32.30 %	51.77 %	0.00 %
<b>Interaction</b>	Placement/transmission				
Report provided by LiquidMetrix					

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**MiFID II RTS 28 Report (Professional Client)**

<b>Class of Instrument</b>	Equities - Shares & Depositary Receipts (T56)				
<b>Notification if &lt;1 average trade per business day in the previous year</b>	No				
<b>Top five investment firms ranked in terms of trading volumes (descending order)</b>	<b>Proportion of volume traded as a percentage of total in that class</b>	<b>Proportion of orders executed as percentage of total in that class</b>	<b>Percentage of passive orders</b>	<b>Percentage of aggressive orders</b>	<b>Percentage of directed orders</b>
MS	100.00 %	100.00 %	51.09 %	40.15 %	0.00 %
<b>Interaction</b>	Placement/transmission				
Report provided by LiquidMetrix					

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**MiFID II RTS 28 Report (Professional Client)**

<b>Class of Instrument</b>	Equities - Shares & Depositary Receipts (T12)				
<b>Notification if &lt;1 average trade per business day in the previous year</b>	Yes				
<b>Top five investment firms ranked in terms of trading volumes (descending order)</b>	<b>Proportion of volume traded as a percentage of total in that class</b>	<b>Proportion of orders executed as percentage of total in that class</b>	<b>Percentage of passive orders</b>	<b>Percentage of aggressive orders</b>	<b>Percentage of directed orders</b>
MS	100.00 %	100.00 %	9.52 %	47.62 %	0.00 %
<b>Interaction</b>	Placement/transmission				
Report provided by LiquidMetrix					



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**MiFID II RTS 28 Report (Professional Client)**

<b>Class of Instrument</b>	Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)				
<b>Notification if &lt;1 average trade per business day in the previous year</b>	Yes				
<b>Top five investment firms ranked in terms of trading volumes (descending order)</b>	<b>Proportion of volume traded as a percentage of total in that class</b>	<b>Proportion of orders executed as percentage of total in that class</b>	<b>Percentage of passive orders</b>	<b>Percentage of aggressive orders</b>	<b>Percentage of directed orders</b>
MS	100.00 %	100.00 %	35.71 %	35.71 %	0.00 %
<b>Interaction</b>	Placement/transmission				
Report provided by LiquidMetrix					

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**MiFID II RTS 28 Report (Professional Client)**

<b>Class of Instrument</b>	Other instruments				
<b>Notification if &lt;1 average trade per business day in the previous year</b>	Yes				
<b>Top five investment firms ranked in terms of trading volumes (descending order)</b>	<b>Proportion of volume traded as a percentage of total in that class</b>	<b>Proportion of orders executed as percentage of total in that class</b>	<b>Percentage of passive orders</b>	<b>Percentage of aggressive orders</b>	<b>Percentage of directed orders</b>
MS	100.00 %	100.00 %	0.00 %	0.00 %	0.00 %
<b>Interaction</b>	Placement/transmission				
Report provided by LiquidMetrix					