

Alantra Equities MiFID II RTS 28 Reporting for 01/10/2020 to 31/12/2020

MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Equities - Shares & Depositary Receipts (T12)				
Notification if <1 average trade per business day in the previous year	Yes				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Deutsche Börse XETA XETA	37.08 %	7.15 %	0.00 %	50.00 %	0.00 %
Bolsa de Madrid XMAD	28.08 %	32.14 %	11.11 %	33.33 %	0.00 %
CHI-X Europe CHIX	9.44 %	7.14 %	0.00 %	50.00 %	0.00 %
GROW	7.75 %	10.72 %	33.33 %	33.33 %	0.00 %
Turquoise MTF TRQX	5.30 %	3.57 %	0.00 %	0.00 %	0.00 %
Interaction	Execution				
Report provided by LiquidMetrix					

Alantra Equities MiFID II RTS 28 Reporting for 01/10/2020 to 31/12/2020

MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Equities - Shares & Depositary Receipts (T34)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Bolsa de Madrid XMAD	25.31 %	12.09 %	42.55 %	43.83 %	0.00 %
CHI-X Europe CHIX	8.67 %	12.50 %	67.08 %	29.22 %	0.00 %
BATS Periodic Auction BATP	8.31 %	4.89 %	0.00 %	0.00 %	0.00 %
Amsterdam Stock Exchange XAMS	5.65 %	1.13 %	36.36 %	27.27 %	0.00 %
Off Exchange Transactions XOFF	5.20 %	1.34 %	7.69 %	7.69 %	0.00 %
Interaction	Execution				
Report provided by LiquidMetrix					

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MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Equities - Shares & Depositary Receipts (T56)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Bolsa de Madrid XMAD	31.50 %	9.66 %	67.80 %	22.37 %	0.00 %
CHI-X Europe CHIX	8.88 %	13.78 %	77.91 %	19.71 %	0.00 %
Paris Stock Exchange XPAR	6.80 %	1.80 %	49.09 %	32.73 %	0.00 %
Deutsche Börse XETA XETA	5.70 %	1.24 %	57.89 %	7.89 %	0.00 %
CBOE EUROPE ? BXE OFF-BOOK BATF	4.04 %	1.90 %	0.00 %	0.00 %	0.00 %
Interaction	Execution				
Report provided by LiquidMetrix					

Alantra Equities MiFID II RTS 28 Reporting for 01/10/2020 to 31/12/2020

MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)				
Notification if <1 average trade per business day in the previous year	Yes				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Paris Stock Exchange XPAR	91.10 %	14.29 %	0.00 %	0.00 %	0.00 %
BOERSE FRANKFURT - REGULIERTER MARKT FRAA	7.38 %	71.43 %	0.00 %	0.00 %	0.00 %
Off Exchange Transactions XOFF	1.52 %	14.28 %	0.00 %	100.00 %	0.00 %
Interaction	Execution				
Report provided by LiquidMetrix					

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MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Equities - Shares & Depositary Receipts (T34)				
Notification if <1 average trade per business day in the previous year	No				
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
MS	100.00 %	100.00 %	32.30 %	51.77 %	0.00 %
Interaction	Placement/transmission				
Report provided by LiquidMetrix					

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MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Equities - Shares & Depositary Receipts (T56)				
Notification if <1 average trade per business day in the previous year	No				
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
MS	100.00 %	100.00 %	51.09 %	40.15 %	0.00 %
Interaction	Placement/transmission				
Report provided by LiquidMetrix					

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Class of Instrument	Equities - Shares & Depositary Receipts (T12)				
Notification if <1 average trade per business day in the previous year	Yes				
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
MS	100.00 %	100.00 %	9.52 %	47.62 %	0.00 %
Interaction	Placement/transmission				
Report provided by LiquidMetrix					

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MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)				
Notification if <1 average trade per business day in the previous year	Yes				
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
MS	100.00 %	100.00 %	35.71 %	35.71 %	0.00 %
Interaction	Placement/transmission				
Report provided by LiquidMetrix					

Alantra Equities MiFID II RTS 28 Reporting for 01/10/2020 to 31/12/2020

MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Other instruments				
Notification if <1 average trade per business day in the previous year	Yes				
Top five investment firms ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
MS	100.00 %	100.00 %	0.00 %	0.00 %	0.00 %
Interaction	Placement/transmission				
Report provided by LiquidMetrix					