

## Alantra Equities MiFID II RTS 28 Reporting for 01/06/2020 to 30/09/2020

### MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Equities - Shares & Depositary Receipts (T12)				
Notification if <1 average trade per business day in the previous year	Yes				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Bolsa de Madrid XMAD	44.11 %	15.00 %	11.11 %	11.11 %	0.00 %
Deutsche Börse XETA XETA	17.59 %	20.00 %	50.00 %	25.00 %	0.00 %
Amsterdam Stock Exchange XAMS	6.81 %	3.33 %	0.00 %	0.00 %	0.00 %
Aquis Exchange AQXE	6.07 %	8.33 %	0.00 %	60.00 %	0.00 %
CHI-X Europe CHIX	5.89 %	10.00 %	0.00 %	50.00 %	0.00 %
Interaction	Execution				
Report provided by LiquidMetrix					

**Alantra Equities MiFID II RTS 28 Reporting for 01/06/2020 to 30/09/2020****MiFID II RTS 28 Report (Professional Client)**

Class of Instrument	Equities - Shares & Depositary Receipts (T34)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
CBOE EUROPE ? BXE OFF-BOOK BATF	26.44 %	2.20 %	0.00 %	63.16 %	0.00 %
Bolsa de Madrid XMAD	18.46 %	14.08 %	51.03 %	34.57 %	0.00 %
Off Exchange Transactions XOFF	16.36 %	1.33 %	0.00 %	0.00 %	0.00 %
BATS Periodic Auction BATP	3.50 %	3.88 %	0.00 %	0.00 %	0.00 %
CHI-X Europe CHIX	3.43 %	11.59 %	66.00 %	25.50 %	0.00 %
Interaction	Execution				
Report provided by LiquidMetrix					

## Alantra Equities MiFID II RTS 28 Reporting for 01/06/2020 to 30/09/2020

## MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Equities - Shares & Depositary Receipts (T56)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Bolsa de Madrid XMAD	27.09 %	9.83 %	69.91 %	23.01 %	0.00 %
Paris Stock Exchange XPAR	12.61 %	2.38 %	64.63 %	12.20 %	0.00 %
CHI-X Europe CHIX	9.37 %	14.20 %	74.49 %	21.84 %	0.00 %
London Stock Exchange XLON	5.99 %	0.96 %	66.67 %	9.09 %	0.00 %
MORGAN STANLEY EUROPE S.E. MSEU	5.88 %	6.23 %	0.00 %	0.00 %	0.00 %
Interaction	Execution				
Report provided by LiquidMetrix					

## Alantra Equities MiFID II RTS 28 Reporting for 01/06/2020 to 30/09/2020

## MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)				
Notification if <1 average trade per business day in the previous year	Yes				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Paris Stock Exchange XPAR	88.31 %	38.89 %	28.57 %	28.57 %	0.00 %
TOWER RESEARCH CAPITAL EUROPE LTD TRSI	5.36 %	11.11 %	0.00 %	100.00 %	0.00 %
CBOE EUROPE - REGULATED MARKET INTEGRATED BOOK BART	2.04 %	11.11 %	50.00 %	50.00 %	0.00 %
Borsa Italiana (MTAA) MTAA	1.96 %	16.67 %	66.67 %	33.33 %	0.00 %
Deutsche Börse XETA XETA	1.83 %	11.11 %	50.00 %	50.00 %	0.00 %
Interaction	Execution				
Report provided by LiquidMetrix					