

Alantra Equities MiFID II RTS 28 Reporting for 01/04/2020 to 30/06/2020

MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Equities - Shares & Depositary Receipts (T12)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Lisbon Stock Exchange XLIS	46.84 %	22.47 %	5.00 %	50.00 %	0.00 %
Bolsa de Madrid XMAD	36.00 %	26.97 %	16.67 %	50.00 %	0.00 %
TOWER RESEARCH CAPITAL EUROPE LTD TRSI	6.10 %	11.24 %	0.00 %	100.00 %	0.00 %
Deutsche Börse XETA XETA	2.70 %	4.50 %	50.00 %	25.00 %	0.00 %
BATS Europe Dark Pool BATD	1.83 %	3.37 %	0.00 %	66.67 %	0.00 %
Interaction	Execution				
Report provided by LiquidMetrix					

Alantra Equities MiFID II RTS 28 Reporting for 01/04/2020 to 30/06/2020

MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Equities - Shares & Depositary Receipts (T34)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Bolsa de Madrid XMAD	48.76 %	21.03 %	47.59 %	35.29 %	0.00 %
Aquis Exchange AQXE	7.61 %	16.54 %	8.84 %	82.99 %	0.00 %
CHI-X Europe CHIX	6.58 %	13.50 %	46.67 %	42.50 %	0.00 %
Lisbon Stock Exchange XLIS	6.55 %	1.57 %	21.43 %	7.14 %	0.00 %
CITADEL CONNECT EUROPE - SYSTEMATIC INTERNALISER CCEU	4.49 %	7.65 %	0.00 %	100.00 %	0.00 %
Interaction	Execution				
Report provided by LiquidMetrix					

Alantra Equities MiFID II RTS 28 Reporting for 01/04/2020 to 30/06/2020

MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Equities - Shares & Depositary Receipts (T56)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Bolsa de Madrid XMAD	28.42 %	10.47 %	63.73 %	25.49 %	0.00 %
CHI-X Europe CHIX	8.86 %	14.68 %	67.48 %	27.97 %	0.00 %
London Stock Exchange XLON	7.53 %	0.46 %	55.56 %	0.00 %	0.00 %
Paris Stock Exchange XPAR	7.14 %	1.64 %	59.38 %	9.38 %	0.00 %
Deutsche Börse XETA XETA	6.97 %	1.23 %	66.67 %	4.17 %	0.00 %
Interaction	Execution				
Report provided by LiquidMetrix					

Alantra Equities MiFID II RTS 28 Reporting for 01/04/2020 to 30/06/2020

MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Other instruments				
Notification if <1 average trade per business day in the previous year	Yes				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Bolsa de Madrid XMAD	73.50 %	25.00 %	0.00 %	66.67 %	0.00 %
Aquis Exchange AQXE	14.45 %	25.00 %	0.00 %	83.33 %	0.00 %
CHI-X Europe CHIX	11.05 %	16.67 %	0.00 %	75.00 %	0.00 %
Turquoise MTF TRQX	0.52 %	16.67 %	0.00 %	100.00 %	0.00 %
BATS Europe BATE	0.48 %	16.66 %	25.00 %	50.00 %	0.00 %
Interaction	Execution				
Report provided by LiquidMetrix					

Alantra Equities MiFID II RTS 28 Reporting for 01/04/2020 to 30/06/2020

MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)				
Notification if <1 average trade per business day in the previous year	Yes				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Paris Stock Exchange XPAR	32.22 %	33.33 %	25.00 %	50.00 %	0.00 %
Frankfurt Floor XFRA	28.10 %	16.67 %	0.00 %	0.00 %	0.00 %
Deutsche Börse XETA XETA	17.88 %	16.67 %	50.00 %	50.00 %	0.00 %
Borsa Italiana (MTAA) MTAA	16.90 %	16.67 %	50.00 %	50.00 %	0.00 %
Swiss Stock Exchange XSWX	2.64 %	8.33 %	0.00 %	100.00 %	0.00 %
Interaction	Execution				
Report provided by LiquidMetrix					