

Alantra Equities MiFID II RTS 28 Reporting for 01/01/2020 to 31/03/2020

MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Equities - Shares & Depositary Receipts (T34)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Bolsa de Madrid XMAD	38.68 %	19.98 %	55.10 %	31.53 %	0.00 %
Swiss Stock Exchange XSWX	19.31 %	0.25 %	0.00 %	0.00 %	0.00 %
CHI-X Europe CHIX	6.01 %	12.85 %	69.31 %	23.76 %	0.00 %
Aquis Exchange AQXE	5.42 %	14.00 %	27.27 %	66.36 %	0.00 %
Lisbon Stock Exchange XLIS	4.66 %	2.61 %	34.15 %	29.27 %	0.00 %
Interaction	Execution				
Report provided by LiquidMetrix					

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MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Equities - Shares & Depositary Receipts (T56)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Bolsa de Madrid XMAD	27.56 %	8.49 %	55.29 %	36.18 %	0.00 %
Swiss Stock Exchange XSWX	10.23 %	0.17 %	28.57 %	0.00 %	0.00 %
CHI-X Europe CHIX	8.88 %	12.32 %	55.98 %	41.18 %	0.00 %
MORGAN STANLEY EUROPE S.E. - SYSTEMATIC INTERNALIS MESI	7.14 %	8.64 %	19.65 %	32.66 %	0.00 %
Aquis Exchange AQXE	4.50 %	10.49 %	26.19 %	70.48 %	0.00 %
Interaction	Execution				
Report provided by LiquidMetrix					

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MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Equities - Shares & Depositary Receipts (T12)				
Notification if <1 average trade per business day in the previous year	Yes				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Bolsa de Madrid XMAD	86.00 %	45.24 %	52.63 %	5.26 %	0.00 %
Deutsche Börse XETA XETA	4.38 %	2.38 %	0.00 %	0.00 %	0.00 %
Lisbon Stock Exchange XLIS	3.32 %	7.15 %	0.00 %	33.33 %	0.00 %
TOWER RESEARCH CAPITAL EUROPE LTD TRSI	2.11 %	4.76 %	0.00 %	100.00 %	0.00 %
BATS Europe Dark Pool BATD	1.81 %	7.15 %	33.33 %	66.67 %	0.00 %
Interaction	Execution				
Report provided by LiquidMetrix					

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Class of Instrument	Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)				
Notification if <1 average trade per business day in the previous year	Yes				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Paris Stock Exchange XPAR	77.86 %	47.92 %	0.00 %	86.96 %	0.00 %
Off Exchange Transactions XOFF	8.66 %	20.83 %	0.00 %	0.00 %	0.00 %
London Stock Exchange XLON	7.35 %	6.25 %	0.00 %	100.00 %	0.00 %
CHI-X Europe CHIX	2.11 %	4.17 %	0.00 %	50.00 %	0.00 %
Borsa Italiana (MTAA) MTAA	1.70 %	4.17 %	0.00 %	50.00 %	0.00 %
Interaction	Execution				
Report provided by LiquidMetrix					

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MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Other instruments				
Notification if <1 average trade per business day in the previous year	Yes				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Bolsa de Madrid XMAD	100.00 %	100.00 %	50.00 %	0.00 %	0.00 %
Interaction	Execution				
Report provided by LiquidMetrix					