

Alantra Equities MiFID II RTS 28 Reporting for 01/01/2019 to 12/31/2019

MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Equities - Shares & Depositary Receipts (T34)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Bolsa de Madrid XMAD	44.11 %	45.64 %	53.14 %	39.34 %	0.00 %
CBOE EUROPE ? BXE OFF-BOOK BATF	7.13 %	1.07 %	0.18 %	5.11 %	0.00 %
Aquis Exchange AQXE	5.04 %	5.53 %	7.99 %	92.01 %	0.00 %
MORGAN STANLEY EUROPE S.E. - SYSTEMATIC INTERNALIS MESI	5.02 %	4.18 %	21.97 %	39.40 %	0.00 %
CHI-X Europe CHIX	4.91 %	18.26 %	79.13 %	20.87 %	0.00 %
Interaction	Execution				
Report provided by LiquidMetrix					

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MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Equities - Shares & Depositary Receipts (T56)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Bolsa de Madrid XMAD	34.10 %	31.15 %	67.38 %	28.98 %	0.00 %
CHI-X Europe CHIX	11.03 %	19.38 %	73.28 %	26.72 %	0.00 %
Off Exchange Transactions XOFF	5.73 %	0.10 %	10.16 %	2.34 %	0.00 %
MORGAN STANLEY EUROPE S.E. - SYSTEMATIC INTERNALIS MESI	5.15 %	8.07 %	9.44 %	23.76 %	0.00 %
BATS Europe BATE	4.71 %	12.50 %	84.79 %	15.21 %	0.00 %
Interaction	Execution				
Report provided by LiquidMetrix					

Alantra Equities MiFID II RTS 28 Reporting for 01/01/2019 to 12/31/2019

MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Other instruments				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Bolsa de Madrid XMAD	45.38 %	80.82 %	83.13 %	15.67 %	0.00 %
Off Exchange Transactions XOFF	24.84 %	1.36 %	19.64 %	14.29 %	0.00 %
CBOE EUROPE ? BXE OFF-BOOK BATF	10.64 %	0.56 %	0.00 %	86.96 %	0.00 %
CITADEL CONNECT EUROPE - SYSTEMATIC INTERNALISER CCEU	4.04 %	0.58 %	0.00 %	100.00 %	0.00 %
CHI-X Europe CHIX	3.90 %	8.23 %	58.82 %	41.18 %	0.00 %
Interaction	Execution				
Report provided by LiquidMetrix					

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MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Equities - Shares & Depositary Receipts (T12)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Off Exchange Transactions XOFF	47.00 %	0.94 %	0.00 %	33.33 %	0.00 %
Bolsa de Madrid XMAD	40.83 %	5.03 %	12.50 %	87.50 %	0.00 %
Turquoise Dark TRQM	6.56 %	0.94 %	0.00 %	33.33 %	0.00 %
Lisbon Stock Exchange XLIS	2.43 %	40.25 %	5.47 %	92.97 %	0.00 %
Deutsche Börse XETA XETA	1.77 %	6.29 %	80.00 %	20.00 %	0.00 %
Interaction	Execution				
Report provided by LiquidMetrix					

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MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Paris Stock Exchange XPAR	40.83 %	54.73 %	74.69 %	25.31 %	0.00 %
Off Exchange Transactions XOFF	24.98 %	12.50 %	0.00 %	0.00 %	0.00 %
MORGAN STANLEY EUROPE S.E. - SYSTEMATIC INTERNALIS MESI	19.24 %	15.54 %	56.52 %	43.48 %	0.00 %
Bolsa de Madrid XMAD	6.75 %	3.38 %	80.00 %	20.00 %	0.00 %
CHI-X Europe CHIX	4.09 %	11.15 %	100.00 %	0.00 %	0.00 %
Interaction	Execution				
Report provided by LiquidMetrix					