

## Alantra Equities MiFID II RTS 28 Reporting for 01/07/2019 to 30/09/2019

### MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Equities - Shares & Depositary Receipts (T56)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Bolsa de Madrid XMAD	31.56%	30.65%	68.03%	27.18%	0.00%
Off Exchange Transactions XOFF	13.17%	0.11%	0.00%	0.00%	0.00%
CHI-X Europe CHIX	9.43%	18.90%	76.97%	23.03%	0.00%
MORGAN STANLEY AND CO. INTERNATIONAL PLC MSIP	8.01%	0.00%	0.00%	100.00%	0.00%
MORGAN STANLEY EUROPE S.E. - SYSTEMATIC INTERNALIS MESI	6.72%	13.13%	16.86%	21.67%	0.00%
Interaction	Execution				
Report provided by LiquidMetrix					

## Alantra Equities MiFID II RTS 28 Reporting for 01/07/2019 to 30/09/2019

### MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Equities - Shares & Depositary Receipts (T34)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Bolsa de Madrid XMAD	40.30%	49.62%	45.75%	47.70%	0.00%
MORGAN STANLEY EUROPE S.E. - SYSTEMATIC INTERNALIS MESI	9.10%	6.98%	25.96%	35.53%	0.00%
Turquoise Dark TRQM	6.98%	1.22%	47.26%	36.30%	0.00%
CHI-X Europe CHIX	4.89%	13.15%	59.02%	40.98%	0.00%
Aquis Exchange AQXE	4.73%	6.61%	7.71%	92.29%	0.00%
Interaction	Execution				
Report provided by LiquidMetrix					

## Alantra Equities MiFID II RTS 28 Reporting for 01/07/2019 to 30/09/2019

### MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Paris Stock Exchange XPAR	32.15%	7.82%	84.52%	15.48%	0.00%
Bolsa de Madrid XMAD	19.63%	32.50%	56.45%	43.55%	0.00%
MORGAN STANLEY EUROPE S.E. - SYSTEMATIC INTERNALIS MESI	18.82%	14.15%	21.71%	17.11%	0.00%
CHI-X Europe CHIX	10.96%	23.84%	46.09%	53.91%	0.00%
TOWER RESEARCH CAPITAL EUROPE LTD TRSI	8.66%	1.86%	0.00%	100.00%	0.00%
Interaction	Execution				
Report provided by LiquidMetrix					

## Alantra Equities MiFID II RTS 28 Reporting for 01/07/2019 to 30/09/2019

### MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Equities - Shares & Depositary Receipts (T12)				
Notification if <1 average trade per business day in the previous year	Yes				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Lisbon Stock Exchange XLIS	60.38%	44.74%	17.65%	70.59%	0.00%
CHI-X Europe CHIX	20.04%	18.42%	0.00%	100.00%	0.00%
Turquoise MTF TRQX	15.42%	18.42%	0.00%	100.00%	0.00%
BATS Europe BATE	4.16%	18.42%	0.00%	100.00%	0.00%
Interaction	Execution				
Report provided by LiquidMetrix					