

ALANTRA

Alantra Equities MiFID II RTS 28 Reporting for 01/01/2018 to 31/12/2018

MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Equities - Shares & Depositary Receipts (T34)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Bolsa de Madrid XMAD	35.81%	45.25%	43.13%	27.21%	0.00%
CBOE EUROPE ? BXE OFF-BOOK BATF	15.84%	0.68%	1.96%	13.32%	0.00%
Turquoise Dark TRQM	8.17%	1.21%	52.15%	11.35%	0.00%
Off Exchange Transactions XOFF	6.37%	0.17%	0.00%	3.07%	0.00%
MORGAN STANLEY AND CO. INTERNATIONAL PLC - SYSTEMA MSSI	6.16%	6.16%	31.02%	23.88%	0.00%
Interaction	Execution				
Report provided by LiquidMetrix					

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Alantra Equities MiFID II RTS 28 Reporting for 01/01/2018 to 31/12/2018

MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Equities - Shares & Depositary Receipts (T56)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Bolsa de Madrid XMAD	42.86%	34.38%	42.94%	16.78%	0.00%
CHI-X Europe CHIX	11.83%	20.59%	49.93%	15.83%	0.00%
MORGAN STANLEY AND CO. INTERNATIONAL PLC - SYSTEMA MSSI	6.31%	9.18%	5.92%	15.23%	0.00%
Turquoise Dark TRQM	5.64%	0.85%	29.24%	7.99%	0.00%
BATS Europe BATE	4.98%	15.47%	60.66%	10.78%	0.00%
Interaction	Execution				
Report provided by LiquidMetrix					

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Alantra Equities MiFID II RTS 28 Reporting for 01/01/2018 to 31/12/2018

MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Equities - Shares & Depositary Receipts (T12)				
Notification if <1 average trade per business day in the previous year	No				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Bolsa de Madrid XMAD	40.71%	45.54%	2.13%	0.55%	0.00%
Swiss Stock Exchange XSWX	28.19%	5.25%	0.00%	0.00%	0.00%
UBS Dark Pool XUBS	7.82%	3.39%	79.59%	20.41%	0.00%
London Stock Exchange XLON	7.44%	0.69%	0.00%	0.00%	0.00%
Paris Stock Exchange XPAR	3.88%	16.45%	0.00%	0.00%	0.00%
Interaction	Execution				
Report provided by LiquidMetrix					

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Alantra Equities MiFID II RTS 28 Reporting for 01/01/2018 to 31/12/2018

MiFID II RTS 28 Report (Professional Client)

Class of Instrument	Other instruments				
Notification if <1 average trade per business day in the previous year	Yes				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Off Exchange Transactions XOFF	97.21%	14.04%	0.00%	0.00%	0.00%
Bolsa de Madrid XMAD	2.79%	85.96%	100.00%	0.00%	0.00%
Interaction	Execution				
Report provided by LiquidMetrix					